

Register by
August 30

**SAVE
\$300**

Healthcare Enforcement Compliance Institute

October 23–26, 2016 | Washington, DC

PRELIMINARY AGENDA

Learn best and leading-edge practices
for the compliance lawyer.

Go beyond legal analysis, learn how to implement
systems that ensure the law is followed, and gain
practical advice from experts in a one-of-a-kind forum
where lawyers and compliance officers work together.

Learn more at hcca-info.org/heci

Questions? jennifer.parrucci@corporatecompliance.org

Sunday, October 23: Pre-Conference

9:00–10:30 AM Pre-Conference Breakout Sessions	P1 Anatomy of False Claims Act Case: Investigation, Negotiation, Resolution – Moderator: Craig Holden, Chairman & COO, Ober Kaler; Amy Easton, Of Counsel, Phillips and Cohen LLP; Edgar Bueno, Partner, Morris, Manning & Martin LLP	P2 Health Information Privacy and Security Standards – Adam Greene, Partner, Davis Wright Tremaine, LLP; Iliana Peters, Senior Advisor for HIPAA Compliance and Enforcement, HHS Office for Civil Rights; Marti Arvin, VP Compliance & Privacy Officer, Regional Healthcare Partners
10:30–10:45 PM	Networking Break	
10:45–12:15 PM Pre-Conference Breakout Sessions	P3 Litigating a False Claims Act Case – John T. Boese, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP; Janet Goldstein, Partner, Vogel, Slade & Goldstein, LLP; Enu Mainigi, Williams & Connolly LLP	P4 Kickback and Stark Law Developments – Daniel Melvin, Partner, McDermott Will & Emery, LLP; Kevin McAnaney, Law Office of Kevin G. McAnaney
12:15–1:30 PM	Lunch (on your own)	
12:30–1:15 PM	SpeedNetworking	
1:30–3:00 PM Pre-Conference Breakout Sessions	P5 Negotiating False Claims Act Settlements – Moderator: Steve Altman, Altman Dispute Resolution Services; Paul Weidenfeld, Attorney, Law Offices of Paul Weidenfeld; Michael D. Granston, Director, Commercial Litigation Branch, Fraud Section, Civil Division, US Dept of Justice; Marlan Wilbank	P6 Federal Administrative Sanctions: Exclusion and Civil Money Penalties – Julie Kass, Lawyer, Ober, Kaler, Grimes & Shriver
3:00–3:15 PM	Networking Break	
3:15–4:45 PM	P7 Handling a Criminal Healthcare Fraud Case – Benton Curtis, Senior Counsel, Broad and Cassel; Sam Sheldon, Quinn Emanuel; Sally B. Molloy, Trial Attorney, Fraud Section, U.S. Department of Justice, Criminal Division	P8 Managed Care Fraud Enforcement and Compliance – Brian Martens, ASAC, HHS-OIG; Craig Smith, Hogan & Lovells; Michael Yount, Senior Vice President & Chief Compliance Officer, WellCare Health Plan, Inc.
4:45–6:00 PM	Welcome Reception	

Monday, October 24: Conference

7:15 AM–8:15 AM	Breakfast		
8:15–8:30 AM	Opening Remarks		
8:30–9:30 AM	GENERAL SESSION: Influencing Decision-Making 2.0 Moving the Meteor – Jenny O'Brien, Chief Compliance Officer, UnitedHealthcare; Roy Snell, CEO, SCCE/HCCA		
9:30–10:00 AM	GENERAL SESSION: CMS Update		
10:00–10:30 AM	GENERAL SESSION: OIG Update		
10:30–11:00 AM	Networking Break		
11:00 AM–12:00 PM Breakout Sessions	101 Preparing your Organization for an HHS-OIG Information Security Audit – David Holtzman, VP Compliance, CynergisTek, Inc.; Brian Johnson, Acting Director, IT Audit, U.S. Department of Health and Human Services OIG	102 Negotiating & Surviving a Corporate Integrity Agreement – Laura Laemmle-Weidenfeld, Partner, Jones Day; Regina G. Morano, SVP-Chief Regulatory Affairs & Compliance Officer, True Health Diagnostics; Caitlin McCormick, Counsel, Akin Gump	103 Medicare Enrollments; Revocation, Appeal and Remedies – Richard R. Burris, Attorney, Polsinelli; Andrew Wachler, Owner/Principal, Wachler & Associates, P.C.
12:00–1:00 PM	Lunch		
1:00–2:00 PM Breakout Sessions	201 Criminal & Civil Enforcement and Compliance Program Effectiveness – Steven W. Ortquist, Managing Director, Aegis Compliance & Ethics Center LLP; Carolyn F. McNiven, Shareholder Litigation, Greenberg Traurig; David Rosenbloom, Partner, McDermott Will & Emery LLP	202 How to Respond to Government Requests for Information: Search Warrants, Subpoenas, Documents & Testimony	203 Stark, False Claims and the Transition to Outcome-Based Reimbursement – JD Thomas, Waller; Andrew Bobb, Assistant United States Attorney, Southern District of Texas; Stephen Page, VP, Associate General Counsel, RegionalCare Hospital Partners, Inc.
2:00–2:30 PM	Networking Break		
2:30–3:30 PM Breakout Sessions	301 State False Claims & Medicaid Fraud Enforcement Update – Jack Wenik, Partner, Epstein Becker & Green PC; George Coddling Sr., Assistant Attorney General, State of Colorado	302 Overpayment and Self Disclosure – Tony Maida, Partner, McDermott Will & Emery; Matthew Edgar, Health Insurance Specialist, CMS	303 Board Members, Management and Compliance – James G. Sheehan, Chief, Charities Bureau, NY Attorney General; Peter Urbanowicz, Managing Director, Alvarez & Marsal
3:30–4:00 PM	Networking Break		
4:00–5:00 PM Breakout Sessions	401 Advanced Discussion: Kick-Back, Stark & False Claims Act – Daniel Melvin, Partner, McDermott Will & Emery, LLP; Kevin McAnaney, Law Office of Kevin G. McAnaney	402 Advanced Discussion: Government Enforcement Official & Chief Compliance Officer – Bret S. Bissey, Sr VP, Compliance Services, MediTract, Inc.; Alec Alexander, Attorney, Christus Health Louisiana	403 Telehealth & Compliance – Deborah A. Randall, Health Law Attorney, Deborah Randall Consulting; Douglas Sofranko, President/CEO, Health Care Compliance Management Group; Candice Regan, CRNP, Psychiatry Solutions, P.C.

Monday, October 24: Conference

5:00–6:00 PM	Networking Reception
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Tuesday, October 25: Conference

7:15–8:15 AM	Breakfast (provided)		
8:15–8:30 AM	Opening Remarks		
8:30–9:30 AM	GENERAL SESSION: TBA		
9:30–10:30 AM	General Session: Enforcement and Compliance: Strategies for Counsel and Compliance Professionals – Speakers TBA		
10:30–11:00 AM	Networking Break		
11:00 AM–12:00 PM Breakout Sessions	501 Organizational Compliance and Excluded Individuals and Entities – Ryan Meade, Director of Regulatory Compliance Studies, Loyola University Chicago School of Law	502 Enforcement and Compliance: Home Health and Hospice – Wade Miller, Partner, Alston & Bird LLP; Kathleen A. Hessler, Director, Compliance & Risk, Simone Healthcare Consultants	503 Recent CIAs & Compliance Effectiveness – Thomas Beimers, Partner, Faegre Baker Daniels, Steven W. Ortquist, Managing Director, Aegis Compliance & Ethics Center LLP
12:00–1:00 PM	Networking Lunch (provided)		
1:00–2:00 PM Breakout Sessions	601 Stark Law and SRDP – Robert A. Wade, Partner, Krieg Devault LLP; Joseph Hudzik, Counsel, Latham & Watkins, LLP	602 Enforcement and Compliance for Physician Related Fraud – Anna M. Grizzle, Partner, Bass, Berry & Sims PLC; Jerry Williamson, Healthcare Consultant	603 Public Disclosure, Original Source and First to File Under the False Claims Act – Peter Chatfield, Phillips & Cohen LLP
2:00–2:30 PM	Networking Break		
2:30–3:30 PM Breakout Sessions	701 Enforcement and Compliance; Medical Necessity and Quality of Care – Sean R. McKenna, Partner, Haynes and Boone, LLP; David Wright, Acting Regional Administrator, Centers for Medicare & Medicaid Services; CJ Wolf, Senior Compliance Executive, Healthicity	702 Application of Privilege and Internal Investigations – Sara Kay Wheeler, Partner, King & Spalding; David Douglas, Sheppard Mullin, LLP	703 Recent Developments in Contractor Audits & Investigations – Kim Brandt, Chief Oversight Counsel, US Senate Finance Committee, Majority Staff; Lester J. Perling, Partner, Broad and Cassel

Wednesday, October 26: Post-Conference

8:30–10:00 AM Post-Conference Breakout Sessions	W1 Criminal and Civil Liabilities for Overpayments – David Glaser, Shareholder, Fredrikson & Byron PA	W2 Enforcement, Compliance and Long Term Care: Nursing Homes
10:00–10:15 AM	Networking Break	
10:15–11:45 AM Post-Conference Breakout Sessions	W3 Increased Focus on Individual Liability for Criminal & Civil Healthcare Fraud – Scott Grubman, Partner, Chilivis, Cochran, Larkins & Bever; Charles Mulaney, Assistant US Attorney, US Attorney's Office Southern District of Georgia; Kirk O'Grosky, Partner, Arnold & Porter LLP	W4 Damages and Liability to Federal Health Programs – Tim Renjilian, FTI Consulting
11:45 AM–12:30 PM	Lunch (on your own)	
12:30–1:00 PM	CHC Exam Check-in	
1:00–3:30 PM	Certified in Healthcare Compliance (CHC) [®] exam (optional)	

Hear what others say

about HCCA's Healthcare Enforcement Compliance Institute

"A pleasant alternative to the general Compliance '101' conferences. The enforcement type format was like a Compliance '201.'

—*Stephen Surgrue*

"I found this three-day conference very informative. It was great to hear from the experts themselves. Compliance/HIPAA Officers receive daily notifications from the top entities about regulations and laws, breaches, hackers and fraud, but to see that these are real people, willing to share their knowledge was impressive. Thank you HCCA for this opportunity!"

—*Betty Heinfeld*

"I always learn something new at HCCA events but the Enforcement Institute in DC was especially helpful. The speakers were excellent and spoke about relevant and timely issues. Because many of the speakers were from the government the information came directly from 'the horse's mouth'. I will definitely attend again and am grateful to HCCA."

—*Shirlene Meernik*

"I always soak up the knowledge at these conferences and especially enjoyed this Healthcare Enforcement conference. Enabled me to have an immersion on incredibly important subject matter that is a foundation to the Compliance program. Program offered as always a lot of takeaways and awesome networking. Appreciate the efforts of the HCCA staff in pulling this together! Excellent!"

—*Patricia Sautel Slater*

Sunday, October 23

PRE-CONFERENCE

9:00–10:30 AM

P1 Anatomy of False Claims Act Case: Investigation, Negotiation, Resolution

 *Moderator: Craig Holden, Chairman & COO, Ober Kaler*

 *Amy Easton, Of Counsel, Phillips and Cohen LLP*

 *Edgar Bueno, Partner, Morris, Manning & Martin LLP*

P2 Health Information Privacy and Security Standards

 *Adam Greene, Partner, Davis Wright Tremaine, LLP*

 *Iliana Peters, Senior Advisor for HIPAA Compliance and Enforcement, HHS Office for Civil Rights*


 *Marti Arvin, VP Compliance & Privacy Officer, Regional Healthcare Partners*

10:30–10:45 AM

Networking Break

10:45 AM–12:15 PM

P3 Litigating a False Claims Act Case

 *John T. Boese, Of Counsel, Fried, Frank, Harris, Shriver & Jacobson LLP*

 *Janet Goldstein, Partner, Vogel, Slade & Goldstein, LLP*

Enu Mainigi, Williams & Connolly LLP

P4 Kickback and Stark Law Developments

Daniel Melvin, Partner, McDermott Will & Emery, LLP

 *Kevin McAnaney, Law Office of Kevin G. McAnaney*

12:15–1:30 PM

Lunch on Own

12:30–1:15 PM

Speed Networking

1:30–3:00 PM

P5 Negotiating False Claims Act Settlements

Moderator: Steve Altman, Altman Dispute Resolution Services

Paul Weidenfeld, Attorney, Law Offices of Paul Weidenfeld

 *Michael D. Granston, Director, Commercial Litigation Branch, Fraud Section, Civil Division, US Dept of Justice*

 *Marlan Wilbanks*

P6 Federal Administrative Sanctions: Exclusion and Civil Money Penalties

 *Julie Kass, Lawyer, Ober, Kaler, Grimes & Shriver*

3:00–3:15 PM

Break

3:15–4:45 PM

P7 Handling a Criminal Healthcare Fraud Case

Benton Curtis, Senior Counsel, Broad and Cassel

Sam Sheldon, Quinn Emanuel

Sally B. Molloy, Trial Attorney, Fraud Section, U.S. Department of Justice, Criminal Division

P8 Managed Care Fraud Enforcement and Compliance

Brian Martens, ASAC, HHS-OIG

 *Craig Smith, Hogan & Lovells*

Michael Yount, Senior Vice President & Chief Compliance Officer, WellCare Health Plan, Inc.

4:45–6:00 PM

Welcome Reception

Monday, October 24

CONFERENCE

7:15–8:15 AM

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Opening Remarks

8:30–9:30 AM

GENERAL SESSION: Influencing Decision-Making 2.0: Moving the Meteor

 *Jenny O'Brien, Chief Compliance Officer, UnitedHealthcare*

 *Roy Snell, CEO, SCCE/HCCA*

9:30–10:00 AM

GENERAL SESSION: CMS Update

10:00–10:30 AM

GENERAL SESSION: OIG Update

10:30–11:00 AM

Networking Break

11:00 AM–12:00 PM

BREAKOUT SESSIONS

101 Preparing your Organization for an HHS-OIG Information Security Audit



David Holtzman, VP Compliance, CynergisTek, Inc.

Brian Johnson, Acting Director, IT Audit, U.S. Department of Health and Human Services OIG

- Learn how OIG develops & executes projects assessing security of information & information systems of HHS programs & participants, including Medicare, Medicaid, Insurance Marketplaces, HIPAA covered entities, and recipients of CMS EHR incentive payments
- Explore the key areas that are the focus of an audit engagement, as well as the criteria, processes, and tools that are used to measure the effectiveness of safeguards for information assurance as well as steps to prepare for an OIG performance audit
- Using risk-based frameworks to empower organizations in identifying threats and vulnerabilities to information assurance. Learn how compliance pros assess an organization for meeting regulatory requirements and industry best practices

102 Negotiating & Surviving a Corporate Integrity Agreement



Laura Laemmle-Weidenfeld, Partner, Jones Day



Regina G. Morano, SVP-Chief Regulatory Affairs & Compliance Officer, True Health Diagnostics

Caitlin McCormick, Counsel, Akin Gump

- Year 1: Setting the tone with your relationships and compliance structure (implementing the CIA; Crisis management and public image; working with OIG; Finding the right IRO; Gaining corporate buy-in; Hiring and managing an effective compliance team)
- Years 2-4: A Roadmap of Common Issues That Arise During a CIA (including reportable events, "substantial" overpayments, annual IRO review and optimizing your entity's response, annual reports, and turning competitive threats on their heads)
- Years 4-5: Preparing for a Post-CIA World (culture check, changing relationship with outside counsel, striking the right balance, communication with the Board, the business and providers, and CIA close-out)

103 Medicare Enrollments; Revocation, Appeal and Remedies



Richard R. Burris, Attorney, Polsinelli



Andrew Wachler, Owner/Principal, Wachler & Associates, P.C.

- Experienced healthcare compliance attorneys will discuss how Medicare enrollments can go wrong, and use real life examples of what providers can do to fix the problem
- Presenters will also be discussing options and strategies for requesting reconsideration and appealing adverse enrollment decisions to the ALJ through the DAB and beyond, including sample appeal arguments
- Finally, when enrollment problems can't be fixed or appealed, the presenters will instruct how improperly billed claims may be refunded and provide a timeline for compliance under the 60 Day Rule

12:00–1:00 PM

Networking Lunch

1:00–2:00 PM

BREAKOUT SESSIONS

201 Criminal & Civil Enforcement and Compliance Program Effectiveness



Steven W. Ortquist, Managing Director, Aegis Compliance & Ethics Center LLP



Carolyn F. McNiven, Shareholder Litigation, Greenberg Traurig



David Rosenbloom, Partner, McDermott Will & Emery LLP

- Factors that Can Make or Break a Compliance Programs Ability to Serve as an Effective Defense
- How the Yates Memo and the Trend Toward Individual Responsibility Should Inform Your Compliance Program Efforts
- What Boards and Management Should be Doing Now

202 How to Respond to Government Requests for Information: Search Warrants, Subpoenas, Documents & Testimony

Speakers TBA

203 Stark, False Claims and the Transition to Outcome-Based Reimbursement

JD Thomas, Waller

Andrew Bobb, Assistant United States Attorney, Southern District of Texas

Stephen Page, VP, Associate General Counsel, RegionalCare Hospital Partners, Inc.

- Government, in-house and outside counsel perspectives on Stark law compliance in physician contracting arrangements in an era of increased False Claims Act enforcement
- The panelists will provide an analysis of recent False Claims Act settlements and decisions involving alleged Stark violations and a discussion of key factors of those cases
- Practical takeaways to increase Stark compliance and avoid False Claims Act liability with regard to common physician compensation models will be provided

2:00–2:30 PM

Networking Break

2:30–3:30 PM

BREAKOUT SESSIONS

301 State False Claims & Medicaid Fraud Enforcement Update



Jack Wenik, Partner, Epstein Becker & Green PC

George Codding Sr., Assistant Attorney General, State of Colorado

302 Overpayment and Self Disclosure



Tony Maida, Partner, McDermott Will & Emery



Matthew Edgar, Health Insurance Specialist, CMS

303 Board Members, Management and Compliance



James G. Sheehan, Chief, Charities Bureau, NY Attorney General



Peter Urbanowicz, Managing Director, Alvarez & Marsal

3:30–4:00 PM

Networking Break

4:00–5:00 PM

BREAKOUT SESSIONS

401 Advanced Discussion: Kick-Back, Stark & False Claims Act

Daniel Melvin, Partner, McDermott Will & Emery, LLP



Kevin McAnaney, Law Office of Kevin G. McAnaney

402 Advanced Discussion: Government Enforcement Official & Chief Compliance Officer



Bret S. Bissey, Sr VP, Compliance Services, MediTract, Inc.

Alec Alexander, Attorney, Christus Health Louisiana

403 Telehealth & Compliance



Deborah A. Randall, Health Law Attorney, Deborah Randall Consulting

Douglas Sofranko, President/CEO, Health Care Compliance Management Group

Candice Regan, CRNP, Psychiatry Solutions, P.C.

5:00–6:00 PM

Networking Reception

Tuesday, October 25 CONFERENCE

7:15–8:15 AM

Breakfast

8:15–8:30 AM

Opening Remarks

8:30–9:30 AM

GENERAL SESSION

9:30–10:30 AM

GENERAL SESSION: Enforcement and Compliance: Strategies for Counsel and Compliance Professionals

Speakers TBA

10:30–11:00 AM

Networking Break

11:00 AM–12:00 PM

BREAKOUT SESSIONS

501 Organizational Compliance and Excluded Individuals and Entities



Ryan Meade, Director of Regulatory Compliance Studies, Loyola University Chicago School of Law

502 Enforcement and Compliance: Home Health and Hospice



Wade Miller, Partner, Alston & Bird LLP

Kathleen A. Hessler, Director, Compliance & Risk, Simione Healthcare Consultants

503 Recent CIAs & Compliance Effectiveness



Thomas Beimers, Partner, Faegre Baker Daniels



Steven W. Ortquist, Managing Director, Aegis Compliance & Ethics Center LLP

- Current enforcement priorities of relevant agencies (DOJ, OIG, FDA, CMS, MFCUs, State AGs)
- Landscape of traditional sources of compliance guidance, with focus on OIG's occasional formal publications in the Federal Register
- Examples of enhanced provisions in Corporate Integrity Agreements that cut across provider types and discussion of why these provisions are as important as the more formal compliance guidance

12:00–1:00 PM

Networking Lunch

1:00–2:00 PM

BREAKOUT SESSIONS

601 Stark Law and SRDP



Robert A. Wade, Partner, Krieg Devault LLP



Joseph Hudzik, Counsel, Latham & Watkins, LLP

- Key cases and settlements, including Halifax Health, Tuomey, Adventist, Broward Health, and Columbus Regional—what have we learned?
- What does “take into account” the volume or value of referrals really mean?
- History and regulatory guidance regarding self-reporting Stark issues—key learning points from the SRDP both from the perspective of the government and private practice

602 Enforcement and Compliance for Physician Related Fraud

 *Anna M. Grizzle, Partner, Bass, Berry & Sims PLC*

 *Jerry Williamson, Healthcare Consultant*

603 Public Disclosure, Original Source and First to File Under the False Claims Act

Peter Chatfield, Phillips & Cohen LLP

2:00–2:30 PM

Networking Break

2:30–3:30 PM

BREAKOUT SESSIONS

701 Enforcement and Compliance; Medical Necessity and Quality of Care

 *Sean R. McKenna, Partner, Haynes and Boone, LLP*

 *David Wright, Acting Regional Administrator, Centers for Medicare & Medicaid Services*

 *CJ Wolf, Senior Compliance Executive, Healthicity*

- Hear from a current Regional Administrator about CMS priorities
- Learn from a former federal prosecutor how DOJ, the OIG, and CMS work together
- Understand the latest CMS trends in enforcement matters

702 Application of Privilege and Internal Investigations

 *Sara Kay Wheeler, Partner, King & Spalding*

David Douglas, Sheppard Mullin, LLP

703 Recent Developments in Contractor Audits & Investigations

 *Kim Brandt, Chief Oversight Counsel, US Senate Finance Committee, Majority Staff*

 *Lester J. Perling, Partner, Broad and Cassel*

Wednesday, October 26 POST CONFERENCE/EXAM

8:30–10:00 AM

POST-CONFERENCE SESSIONS

W1 Criminal and Civil Liabilities for Overpayments

 *David Glaser, Shareholder, Fredrikson & Byron PA*

W2 Enforcement, Compliance and Long Term Care: Nursing Homes

10:00–10:15 AM

Networking Break

10:15–11:45 AM

POST-CONFERENCE SESSIONS

W3 Increased Focus on Individual Liability for Criminal & Civil Healthcare Fraud

 *Scott Grubman, Partner, Chilivis, Cochran, Larkins & Bever*

Charles Mulaney, Assistant US Attorney, US Attorney's Office Southern District of Georgia

 *Kirk O'Grosky, Partner, Arnold & Porter LLP*

- A discussion of the government's recent focus on individual liability in healthcare fraud and abuse matters, including a recent OIG fraud alert directed at physicians and the DOJ's new policy on individual responsibility
- A discussion of how the government's new focus on individual responsibility has and will affect healthcare providers in investigations and litigation under the False Claims Act, Stark law, and Anti-Kickback Statute
- What steps healthcare providers can take now to minimize the risk of a costly investigation later, including arrangements review, implementation of a compliance program, and properly responding to employee concerns related to compliance

W4 Damages and Liability to Federal Health Programs

 *Tim Renjilian, FTI Consulting*

11:45 AM–12:30 PM

Lunch on Own

12:30–1:00 PM

CHC Exam Check-in

1:00–3:30 PM

Certified in Healthcare Compliance (CHC)[®] exam (optional)

The CHC exam is optional. You must register in advance to sit for the exam. The cost of the exam is not included in the conference registration fee. To register for the exam, complete the date-specific exam application and mail or fax as directed on the application. For a link to the exam application, visit hcca-info.org/heci.

Full name _____
please type or print

Sharing your demographic information with HCCA will help us create better networking opportunities for you. Thank you for taking a moment to fill out the following information.

Demographic Information

What is your functional job title? Please select one.

- | | |
|--|--|
| <input type="checkbox"/> Academic/Professor | <input type="checkbox"/> Consultant |
| <input type="checkbox"/> Administration | <input type="checkbox"/> Controller |
| <input type="checkbox"/> Asst Compliance Officer | <input type="checkbox"/> Ethics Officer |
| <input type="checkbox"/> Attorney (In-House Counsel) | <input type="checkbox"/> Executive Director |
| <input type="checkbox"/> Attorney (Outside Counsel) | <input type="checkbox"/> General Counsel |
| <input type="checkbox"/> Audit Analyst | <input type="checkbox"/> HIM Professional |
| <input type="checkbox"/> Audit Manager/Officer | <input type="checkbox"/> HIPAA/Privacy Officer |
| <input type="checkbox"/> Billing Manager/Officer | <input type="checkbox"/> Human Resources |
| <input type="checkbox"/> Charger Master | <input type="checkbox"/> Medical Director |
| <input type="checkbox"/> Chief Compliance Officer | <input type="checkbox"/> Nurse |
| <input type="checkbox"/> CEO/President | <input type="checkbox"/> Nurse Manager |
| <input type="checkbox"/> Chief Financial Officer | <input type="checkbox"/> Patient Safety Officer |
| <input type="checkbox"/> Chief Information Officer | <input type="checkbox"/> Pharmacy Director |
| <input type="checkbox"/> Chief Medical Officer | <input type="checkbox"/> Physician |
| <input type="checkbox"/> Chief Operating Officer | <input type="checkbox"/> Quality Assurance/
Quality of Care |
| <input type="checkbox"/> Clinical | <input type="checkbox"/> Regulatory Officer |
| <input type="checkbox"/> Coder | <input type="checkbox"/> Reimbursement Coordinator |
| <input type="checkbox"/> Compliance Analyst | <input type="checkbox"/> Research Analyst |
| <input type="checkbox"/> Compliance Coordinator | <input type="checkbox"/> Risk Manager |
| <input type="checkbox"/> Compliance Director | <input type="checkbox"/> Trainer/Educator |
| <input type="checkbox"/> Compliance Fraud Examiner | <input type="checkbox"/> Vice President |
| <input type="checkbox"/> Compliance Officer | <input type="checkbox"/> Other (please list below) |
| <input type="checkbox"/> Compliance Specialist | |

List others not listed here:

Registration continues on next page (turn over)

What is your primary health care entity?

- | | |
|---|--|
| <input type="checkbox"/> Academic | <input type="checkbox"/> Long-Term Care |
| <input type="checkbox"/> Ambulance/Transportation | <input type="checkbox"/> Managed Care |
| <input type="checkbox"/> Behavioral Health | <input type="checkbox"/> Medical Device Manufacturer |
| <input type="checkbox"/> Consulting Firm | <input type="checkbox"/> Medical/Clinical Research |
| <input type="checkbox"/> Durable Medical Equipment | <input type="checkbox"/> Nursing |
| <input type="checkbox"/> Government Provider | <input type="checkbox"/> Other Provider of Services/
Products to Health Care Entities |
| <input type="checkbox"/> Health System | <input type="checkbox"/> Payor/Insurance |
| <input type="checkbox"/> Health System/Teaching | <input type="checkbox"/> Pharmaceutical Manufacturer |
| <input type="checkbox"/> Home Care/Hospice | <input type="checkbox"/> Physician Practice |
| <input type="checkbox"/> Hospital | <input type="checkbox"/> Rehabilitation |
| <input type="checkbox"/> Hospital/Teaching | <input type="checkbox"/> Retail Pharmacy |
| <input type="checkbox"/> Integrated Delivery System | <input type="checkbox"/> Third-Party Billing |
| <input type="checkbox"/> Integrated Health System | <input type="checkbox"/> Other (please list below) |
| <input type="checkbox"/> Laboratory | |
| <input type="checkbox"/> Law Firm | |

List others not listed here:

What certifications do you hold? Select all that apply.

- | | | | |
|--------------------------------|-------------------------------|--------------------------------|-------------------------------|
| <input type="checkbox"/> BA | <input type="checkbox"/> CHE | <input type="checkbox"/> FHFMA | <input type="checkbox"/> MSN |
| <input type="checkbox"/> BBA | <input type="checkbox"/> CHP | <input type="checkbox"/> JD | <input type="checkbox"/> MT |
| <input type="checkbox"/> BS | <input type="checkbox"/> CHPC | <input type="checkbox"/> LLM | <input type="checkbox"/> NHA |
| <input type="checkbox"/> BSN | <input type="checkbox"/> CHRC | <input type="checkbox"/> MA | <input type="checkbox"/> PhD |
| <input type="checkbox"/> CCEP | <input type="checkbox"/> CIA | <input type="checkbox"/> MBA | <input type="checkbox"/> RHIA |
| <input type="checkbox"/> CEM | <input type="checkbox"/> CPA | <input type="checkbox"/> MHA | <input type="checkbox"/> RHIT |
| <input type="checkbox"/> CCS | <input type="checkbox"/> CPC | <input type="checkbox"/> MPA | <input type="checkbox"/> RN |
| <input type="checkbox"/> CCS-P | <input type="checkbox"/> CPHQ | <input type="checkbox"/> MPH | |
| <input type="checkbox"/> CFE | <input type="checkbox"/> DDS | <input type="checkbox"/> MS | |
| <input type="checkbox"/> CHC | <input type="checkbox"/> ESQ | <input type="checkbox"/> MSHA | |

List others not listed here:

CONTACT INFORMATION

Mr Mrs Ms Dr

HCCA Member ID (if applicable)

First Name MI

Last Name

Credentials (CHC, CCEP, etc.)

Job Title

Name of Employer

Street Address

City/Town State/Province

Country Zip/Postal Code

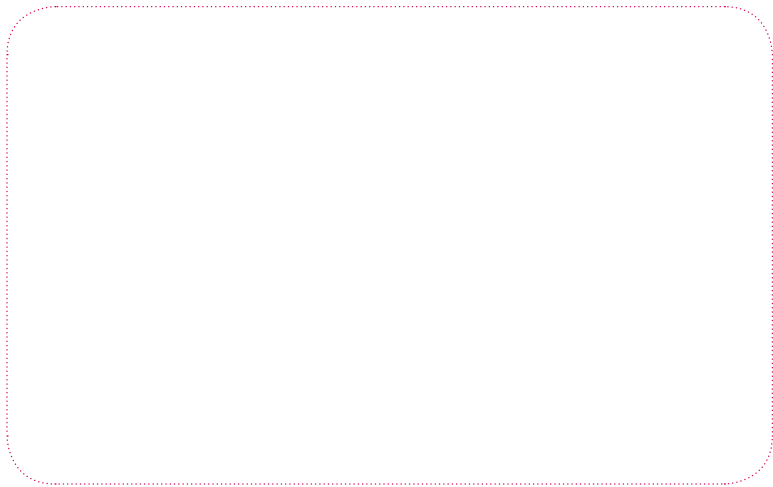
Phone

Email (required for registration confirmation & conference information)

SESSION SELECTION

Please select your sessions to assist us in room planning.
Select only ONE session per time slot.

SUN, OCT 23 BREAKOUTS	MON, OCT 24 BREAKOUTS	TUE, OCT 25 BREAKOUTS	WED, OCT 26 BREAKOUTS
9:00–10:30 AM	11:00 AM–12:00 PM	11:00 AM–12:00 PM	8:30–10:00 AM
<input type="radio"/> P1	<input type="radio"/> 101	<input type="radio"/> 501	<input type="radio"/> W1
<input type="radio"/> P2	<input type="radio"/> 102	<input type="radio"/> 502	<input type="radio"/> W2
10:45–12:15 PM	<input type="radio"/> 103	<input type="radio"/> 503	10:15–11:45 AM
<input type="radio"/> P3	1:00–2:00 PM	1:00–2:00 PM	<input type="radio"/> W3
<input type="radio"/> P4	<input type="radio"/> 201	<input type="radio"/> 601	<input type="radio"/> W4
1:30–3:00 PM	<input type="radio"/> 202	<input type="radio"/> 602	
<input type="radio"/> P5	<input type="radio"/> 203	<input type="radio"/> 603	
<input type="radio"/> P6	2:30–3:30 PM	2:30–3:30 PM	
3:15–4:45 PM	<input type="radio"/> 301	<input type="radio"/> 701	
<input type="radio"/> P7	<input type="radio"/> 302	<input type="radio"/> 702	
<input type="radio"/> P8	<input type="radio"/> 303	<input type="radio"/> 703	
	4:00–5:00 PM		
	<input type="radio"/> 401		
	<input type="radio"/> 402		
	<input type="radio"/> 403		



REGISTRATION OPTIONS Registration fees are as listed and considered net of any local withholding taxes applicable in your country of residence.

Early Bird Registration	REGISTER ON/BY 8/30/16	AFTER 8/30/16
<input type="checkbox"/> HCCA Members.....	\$749	\$799
<input type="checkbox"/> Non-Members	\$849	\$899
<input type="checkbox"/> HCCA Membership & Registration	\$949	\$999
<small>NEW MEMBERS ONLY / DUES REGULARLY \$295 ANNUALLY</small>		
<input type="checkbox"/> Pre-Conference Registration.....	FREE	\$125
<input type="checkbox"/> Post-Conference Registration.....	FREE	\$125
<input type="checkbox"/> Discount for 5 or more from same organization.....	(\$50)	(\$50)
<input type="checkbox"/> Discount for 10 or more from same organization.....	(\$100)	(\$100)

TOTAL \$ _____

Special Request for Dietary Accommodation

Gluten Free Kosher Vegetarian Vegan Other

PAYMENT OPTIONS

- Invoice me
 - Check enclosed (payable to HCCA)
 - I authorize HCCA to charge my credit card (choose below)
- Credit Card: American Express Discover MasterCard Visa

Due to PCI Compliance, please **do not provide any credit card information via email**. You may email this form to help@hcca-info.org (without credit card information) and call HCCA at 888-580-8373 or 952-988-0141 with your credit card.

Credit Card Account Number

Credit Card Expiration Date

Cardholder's Name

Cardholder's Signature

HRI1016

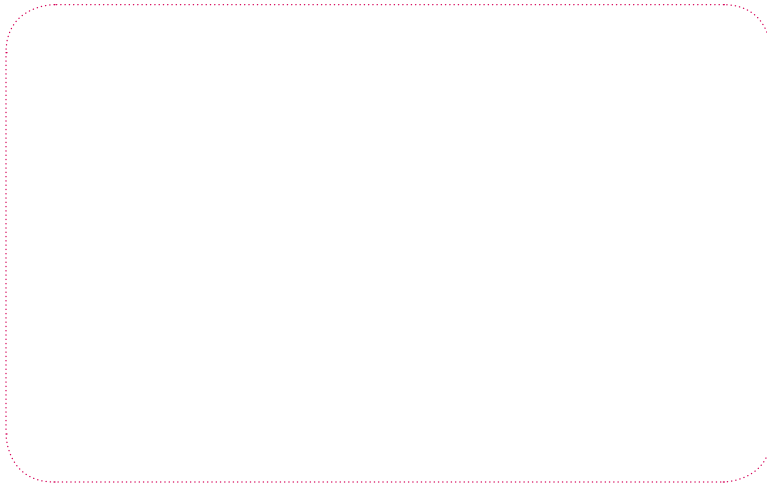
WAYS TO REGISTER

MAIL to HCCA, 6500 Barrie Road, Suite 250, Minneapolis, MN 55435-2358

ONLINE at hcca-info.org/heci

FAX to 952-988-0146 (include completed registration form with payment)

EMAIL help@hcca-info.org (without credit card information)



Hotel Information:

Capital Hilton
1001 16th Street, NW
Washington, DC 20036

A reduced rate of \$279.00 per night for single/double occupancy plus applicable taxes has been arranged for this program. The cutoff date to receive the group rate is Friday, September 23, 2016 or once the group room block is full, whichever comes first. Hotel accommodations are not included in your conference registration fee. The group rate includes complimentary internet in guestrooms. Reservations can be made by calling 1-800-HILTONS and use the group code "HCCA" to book at the group rate or book online at <https://resweb.passkey.com/go/HCCAHEALTHCARE2016>.

Registration Terms & Conditions: Please make your check payable to HCCA, enclose payment with your registration, and return it to the HCCA office, or fax your credit card payment to 952-988-0146. If your total is miscalculated, HCCA will charge your card the correct amount. All expenses incurred to maintain or improve skills in your profession may be tax deductible, including tuition, travel, lodging, and meals. Please consult your tax advisor.

Cancellations/Substitutions: You may send a substitute in your place or request a conference credit. Conference credits are issued in the full amount of the registration fees paid and are good for 12 months from the date of the cancelled event. Conference credits may be used toward any HCCA service. If you need to cancel your participation, notify us prior to the start date of the event by email at help@hcca-info.org or by fax at 952-988-0146. Please note that if you are sending a substitute, an additional fee may apply.

Recording: No unauthorized audio or video recording of HCCA Conferences is allowed.

Dress Code: Business casual dress is appropriate.

Special Needs/Concerns: Prior to your arrival, please call HCCA at 888-580-8373 if you have a special need and require accommodation to participate.

Group Discounts: Discounts take effect the day a group reaches the discount number of registrants. Please send registration forms together to ensure that the discount is applied. A separate registration form is required for each registrant. Note that discounts will NOT be applied retroactively if more registrants are added at a later date, but new registrants will receive the group discount.

5 or more: \$50 discount for each registrant
10 or more: \$100 discount for each registrant

Agreements & Acknowledgements: I agree and acknowledge that I am undertaking participation in HCCA events and activities as my own free and intentional act, and I am fully aware that possible physical injury might occur to me as a result of my participation in these events. I give this acknowledgement freely and knowingly and assert that I am, as a result, able to participate in HCCA events, and I do hereby assume responsibility for my own well-being. I agree and acknowledge that HCCA plans to take photographs at the HCCA Healthcare Enforcement Compliance Institute and reproduce them in HCCA educational, news, or promotional material, whether in print, electronic, or other media, including the HCCA website. By participating in the HCCA Healthcare Enforcement Compliance Institute, I grant HCCA the right to use my name, photograph, and biography for such purposes.

Continuing Education Units: HCCA is in the process of applying for additional credits. If you do not see information on your specific accreditation and would like to make a request, please contact us at 952-988-0141 or 888-580-8373 or email ccb@compliancecertification.org. Visit HCCA's website, hcca-info.org for up-to-date information.

ACHE: The Health Care Compliance Association is authorized to award 20.0 hours of pre-approved ACHE Qualified Education credit (non-ACHE) for this program toward advancement, or recertification in the American College of Healthcare Executives. Participants in this program wishing to have the continuing education hours applied toward ACHE Qualified Education credit should indicate their attendance when submitting an application to the American College of Healthcare Executives for advancement or recertification.

Compliance Certification Board (CCB): CCB has awarded a maximum possible CEU award of up to 24.9 CCB CEUs, for these certifications: Certified in Healthcare Compliance (CHC)[®], Certified in Healthcare Compliance–Fellow (CHC-F)[®], Certified in Healthcare Privacy Compliance (CHPC)[®], Certified in Healthcare Research Compliance (CHRC)[®], Certified Compliance & Ethics Professional (CCEP)[®], Certified Compliance & Ethics Professional–Fellow (CCEP-F)[®], Certified Compliance & Ethics Professional–International (CCEP-I)[®].

Maximum CCB CEUs per day:

SUNDAY: 7.2 CCB CEUs
 MONDAY: 7.2 CCB CEUs
 TUESDAY: 6.0 CCB CEUs
 WEDNESDAY: 3.6 CCB CEUs

Possible additional 0.9 CCB CEUs for participation in the SpeedNetworking session (max of 1.5 per year).

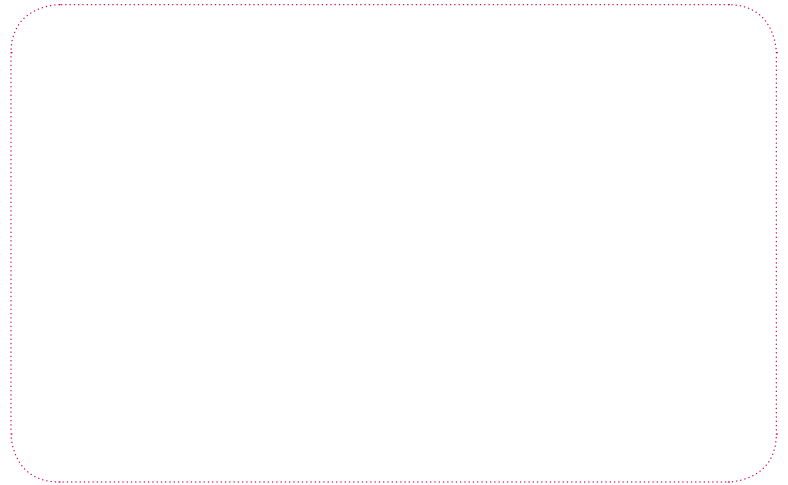
CLE: The Health Care Compliance Association is a State Bar of California Approved MCLE provider, a Pennsylvania Accredited Provider, and is an accredited sponsor, approved by the State Bar of Texas, Committee on MCLE. An approximate maximum of 20.0 clock hours of CLE credit will be available to attendees of this conference. All CLE credits will be awarded based on individual attendance.

NASBA/CPE: The Health Care Compliance Association is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE sponsors, Sponsor Identification No: 105638. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.learningmarket.org. A recommended maximum of 24.0 credits based on a 50-minute hour will be granted for this activity. This program addresses topics that are of a current concern in the compliance environment and is a group-live activity in the recommended field of study of Specialized Knowledge and Application. For more information regarding administrative policies such as complaints or refunds, call (888) 580-8373 or (952) 988-0141.

Nursing Credit: The Health Care Compliance Association is preapproved by the California Board of Registered Nursing, Provider Number CEP 14593, for a maximum of 24.0 contact hour(s). The following states will not accept CA Board of Nursing contact hours: Delaware, Florida, New Jersey and Utah. Massachusetts and Mississippi nurses may submit CA Board of Nursing contact hours to their state board, but approval will depend on review by the board. Please contact the Accreditation Department at ccb@compliancecertification.org with any questions you may have. Oncology Nurses who are certified by ONCC may request CA Nursing Credit (check box or indicate "Nursing" on the CEU form).



6500 Barrie Road, Suite 250
Minneapolis, MN 55435
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Healthcare Enforcement Compliance Institute

October 23–26, 2016 | Washington, DC

Healthcare Enforcement Compliance Institute

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