

Matthew E. Newman

Senior Associate

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Matt Newman is a senior associate in Alston & Bird's Securities Litigation Group. He focuses his practice on complex securities litigation, Securities and Exchange Commission (SEC) and Department of Justice (DOJ) investigations, and regulatory and compliance matters. He has represented public and private companies and their officers and directors in a variety of industries, including the financial services, information technology, and manufacturing sectors.

During law school, Matt interned with the SEC's Division of Enforcement, the Consumer Financial Protection Bureau (CFPB)'s Office of Enforcement, and the legal and compliance divisions of a national broker dealer. Matt received his J.D., cum laude, from Washington University in St. Louis, where he served as an executive editor of the *Washington University Law Review*.

Representative Experience

- Defended various companies and executives in SEC and DOJ investigations alleging securities fraud schemes, financial reporting and disclosure issues, registration violations, and corporate governance issues.
- Secured a favorable settlement for a real estate investment vehicle in a derivative action alleging fraud and misappropriation of funds.
- Resolved multiple False Claims Act investigations and qui tam actions against financial institutions, securing government declinations and the dismissal of a \$2.3 billion suit.
- Secured a favorable settlement for a financial institution facing whistleblower retaliation claims under the Sarbanes-Oxley Act (SOX) and the Dodd-Frank Act.
- Provided compliance advice on securities law matters, including corporate governance and whistleblower issues, public disclosure obligations, applicability of the Foreign Corrupt Practices Act (FCPA), and SEC and FINRA data retention requirements.

Publications & Presentations

Publications

- "Night of the Living SEC Injunction," *Law360*, October 30, 2019.
- "It's All in the Footnotes: A Field Guide to SEC Whistleblower Awards," *ABA Bus. Law Today*, May 15, 2018.
- "Supreme Court Limits Definition of 'Whistleblower' in Potentially Hollow Victory for Public Companies," *Westlaw Journal of Bank & Lender Liability*, March 19, 2018.
- "Why Securities Lawyers Are the New Employment Lawyers," *Law360*, November 15, 2017.
- "Has the Game Changed? New Considerations for General Counsel Post-Yates," *Bloomberg BNA White Collar Crime Report*, September 30, 2016.

Education

- Washington University in St. Louis (J.D., 2014)
- University of Florida (B.A., 2011)

Admitted to Practice

- Georgia
- District of Columbia
- Illinois

Related Services

Securities Litigation | White Collar, Government & Internal Investigations | Financial Services Litigation | Litigation | Blockchain & Digital Assets